

## **IFRS/HKFRS Compliance Series 2017/18**

### **Session 1: Stock Exchange of Hong Kong and Financial Reporting Council: Identified Non-compliance Issues**

**Date:** Saturday, 14 October 2017 (*Closing Date: Wed, 11 October 2017*)  
**Time:** 2:30pm – 5:00pm (2:15pm Registration)  
**Venue:** Lecture Theatre WK-N213, 2/F, North Tower, PolyU – Hong Kong Community College (WK Campus)  
**Address :** 9 Hoi Ting Road, Yau Ma Tei, Kowloon (Yau Ma Tei MTR Station, Exit B)  
**Fee:** \$300 for AIA/CIMA/CPA Canada/SCAA/CHKLC members; \$400 for Non-members;  
 Free for Full Time Students  
**CPD Units:** 2.5 units **Language:** Cantonese (Supplemented with English PowerPoint)  
**Remarks:** Beverage will be provided

#### **Seminar outline**

This is the first of the technical seminars under the IFRS/HKFRS Compliance Series 2017/18 organised by the AIA, Hong Kong Branch, which aim to cover the IFRS/HKFRS non-compliance issues identified by the accounting and securities regulators in Hong Kong.

#### **PART A**

In connection with its regulatory function, the Stock Exchange of Hong Kong Limited (SEHK) has a Financial Statements Review Programme (FSRP) which reviews, on a sample basis, the periodic financial reports published by listed issuers. The FSRP Report 2016, published in July 2017, summarises key observations and findings from SEHK's reviews of 100 periodic financial reports released by listed issuers between February 2016 and April 2017.

#### **PART B**

The Financial Reporting Council (FRC) has been tasked with the mission to promote high quality financial reporting and better investor protection in Hong Kong. Since its establishment in December 2006, its primary responsibility is to investigate possible auditing irregularities and to enquire into possible non-compliance with accounting requirements in relation to Hong Kong listed issuers. From time to time, the FRC publishes results and findings of its completed investigations.

**At the seminar, the Speaker Mr Stephen Chan will share with you his analysis of (A) the SEHK's key findings and observations in the FSRP Report 2016, and (B) the FRC's published findings of some of its completed investigations.**

**Speaker: Mr. Stephen Chan FCA FCPA FAIA**  
**Director, Head of Risk, BDO Hong Kong**  
**President, AIA HK Branch**

Before joining BDO Hong Kong, Stephen was the Executive Director and Technical Director of the Hong Kong Institute of Certified Public Accountants (HKICPA) for over 10 years.

He actively participates in the technical committees and working groups of the BDO Network and accountancy bodies including AIA Hong Kong Branch and HKICPA.

## Registration Form – 14 October 2017

Name:			Membership No:	
Telephone No:		Email:		
No of tickets:	<input type="checkbox"/> AIA <input type="checkbox"/> CIMA <input type="checkbox"/> CPA Canada		<input type="checkbox"/> SCAA <input type="checkbox"/> CHKLC <input type="checkbox"/> Non-members	
Full Time Student:	School:			
Cheque No.:	Bank:		Total HK\$	
<input type="checkbox"/> I have deposit the seminar fee on _____(DD/MM)  *If you choose to deposit to our Bank account directly, please provide the Bank in slip together with this enrollment form to Ms. Aby Yuen (abyyuen@aiahkbranch.com)  Bank Name: DBS Bank Bank Account Name: The Association of International Accountants - Hong Kong Branch Account Number: 016-202-0230613167				
Please tick the appropriate box whether receipt is required. <input type="checkbox"/> Yes <input type="checkbox"/> Not Required			Signature:	

### Terms and Conditions:

- I have read the booking conditions below and agree to abide by them
- Due to limited space, registration will be accepted on a first-come-first-served basis and payment must be paid before the closing day of the event
- Confirmation will be sent by Email on closing day
- If you have to cancel or transfer, the full fee will be transferred to a future event, provided notice is received not less than 3 days prior to the event. If you cancel or transfer later than this, the full fee will be charged to that event and no refund can be made.
- Cheques should be made payable to: **The Association of International Accountants – Hong Kong Branch**
- Please return the completed form to: **The Association of International Accountants – Hong Kong Branch**

Tel: (852) 2845 4982

Fax: (852) 2845 7495

Enquiries:abyyuen@aiahkbranch.com