

What you need to know as accountants to avoid the corruption pitfalls

Date: Saturday, 20 January 2018 (*Enrolment Closing Date: Wed, 17 January 2018*)
Time: 2:30pm – 5:00pm (2:15pm Registration)
Venue: Lecture Theatre WK-N213, 2/F, North Tower, PolyU WK Campus – Hong Kong Community College
Address: 9 Hoi Ting Road, Yau Ma Tei, Kowloon (Yau Ma Tei MTR Station, Exit B)
Fee: \$200 for AIA/ACIA/CA ANZ/CHKLC/SCAA members; \$250 for Non-members **CPD Units:** 2.5 units
Remark: Beverages will be provided **Language:** Cantonese (Supplemented with English PPT)

This seminar covers the following topics:

Session 1: 2:30pm – 4:00pm **Professional Ethics and Corruption Prevention – A Perspective from the ICAC**

Good corporate governance and ethical leadership are widely recognised as important factors to minimize risks of corruption and malpractices at workplace. Professional accountants provide invaluable advice to senior management on corporate governance and act as guardians watching out for malpractices and non-compliance with legal and regulatory requirements. Accountants who perform their guardian role well are able to spot the red flags, blow the whistle and guide companies to maintain sound risk management and control systems to prevent corruption and related malpractices.

With reference to the ICAC's experience on investigation and corruption prevention work, the speaker will give an introduction of the relevant sections of the Prevention of Bribery Ordinance, as well as analysis on common corruption risks and ethical issues encountered by company directors, senior management and accounting professionals in the daily operations of corporations.

Session 2: 4:20pm – 5:00pm **HKICPA Code of Ethics Requirements**

The speaker will highlight the relevant requirements and guidance in the HKICPA Code of Ethics on dealing with situations involving conflict of interest, and making offers and receiving offers of inducements. The speaker will also walk through the "Sample Code of Conduct provided by the ICAC".

Speakers:

Session 1: **Mr. Wallace CHU, Senior Community Relations Officer, ICAC**

A member of the Hong Kong Institute of Certified Public Accountants, joined the ICAC in 2013. He worked in the Corruption Prevention Department to examine the work practices and procedures of different government departments, public bodies and private companies and make recommendations to mitigate the corruption risks identified. In 2017, he took up a posting to the Hong Kong Business Ethics Development Centre of the Community Relations Department, with his work focusing on promoting professional ethics to the business community of Hong Kong.

Before joining the ICAC, Wallace has worked as an external auditor in a Big 4 accounting firm and an internal auditor in a Hong Kong listed retail company.

Session 2: **Mr. Stephen CHAN FCA FCPA FAIA, Director, Head of Risk, BDO, and President, AIA HK Branch**

Before joining BDO Hong Kong, Stephen was the Executive Director and Technical Director of the Hong Kong Institute of Certified Public Accountants (HKICPA) for over 10 years.

He actively participates in the technical committees and working groups of the BDO Network and accountancy bodies including AIA Hong Kong Branch and HKICPA.

Registration Form – 20 January 2018

Name:		Membership No:	
Telephone No:		Email:	
No of tickets:	<input type="checkbox"/> AIA <input type="checkbox"/> ACIA <input type="checkbox"/> CA ANZ <input type="checkbox"/> CHKLC <input type="checkbox"/> SCAA		<input type="checkbox"/> Non-members
Full Time Student:	School:		
Cheque No.:	Bank:	Total HK\$	
<input type="checkbox"/> I have deposit the seminar fee on _____(DD/MM) *If you choose to deposit to our Bank account directly, please provide the Bank in slip together with this enrollment form to Ms. Aby Yuen (abyyuen@aiahkbranch.com) Bank Name: DBS Bank Bank Account Name: The Association of International Accountants - Hong Kong Branch Account Number: 016-202-0230613167			
Please tick the appropriate box whether receipt is required. <input type="checkbox"/> Yes <input type="checkbox"/> Not Required		Signature:	

Terms and Conditions:

- I have read the booking conditions below and agree to abide by them
- Due to limited space, registration will be accepted on a first-come-first-served basis and payment must be paid before the closing day of the event
- Confirmation will be sent by Email on closing day
- If you have to cancel or transfer, the full fee will be transferred to a future event, provided notice is received not less than 3 days prior to the event. If you cancel or transfer later than this, the full fee will be charged to that event and no refund can be made.
- Cheques should be made payable to: **The Association of International Accountants – Hong Kong Branch**
- Please return the completed form to: **The Association of International Accountants – Hong Kong Branch**

Tel: (852) 2845 4982

Fax: (852) 2845 7495

Enquiries:abyyuen@aiahkbranch.com