



創於 1913 年
Founded in 1913

香港華人會計師公會
The Society of Chinese Accountants & Auditors

(在香港註冊成立之有限公司)

(Incorporated in Hong Kong as a company limited by guarantee)

110TH
ANNIVERSARY

Course code 4823



Disclosure of interests and shareholders' protection from academic and company secretary's perspective

Speaker: **Ms. Carmen Lam**

5 December 2023

Disclosure of interests and shareholders' protection

from academic and company secretary's perspective (Course Code 課程編號: 4823)

Highlights:

課程重點:

1. Disclosure of interests: Regulatory Framework
2. SFO Objectives
3. Notification requirement
4. Interests in Shares and Debentures
5. Duties of Substantial Shareholders and Directors / Chief Executives
6. Registers
7. Ownership Investigation
8. Notification Forms/Investigation Reports
9. Case Studies
10. Comments on Shareholders' Protection

Speaker(s):

講者:



Ms. Lam Siu Wa, Carmen

FCG HKFCG (PE), HKCGI CERT: ESG

Ms. Lam Siu Wa, Carmen FCG HKFCG (PE), HKCGI CERT: ESG, is currently Advisor and Training Director with Rich Tax Accounting Secretary Limited and CHK CPA Co. She is also the Project Director of China Culture Foundation.

Ms. Lam is a Chartered Secretary and a Chartered Governance Professional. She has been with listed companies for over 20 years with extensive experience in well-known listed companies, including CK Hutchison Holdings Ltd. She was the Company Secretary of Tongda Group Holdings Limited (sc: 0698) and Tongda Hong Tai Holdings Limited (sc: 2363) listed on the Main Board of Hong Kong Stock Exchange, in charge of compliance, company secretarial matters, internal control and risk management. She was also the Joint Company Secretary of SEEC Media Group Limited (sc:0205). She got practical secretarial experience in both Main Board and GEM, includes but not limited to spin-off listing, open offer, private placing, notifiable and connected transactions, disclosure of interests and ESG reporting.

Carmen started her academia journey with the Open University of Hong Kong as



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Part-time tutor since 2017 and Part-time Lecturer since 2019. She turned to be a Senior Lecturer in Corporate Administration, Secretaryship/Secretarial Practice and Compliance with Hong Kong Metropolitan University. She was awarded the HKMU President's Awards for Distinguished Achievements in December 2021. Her research publication with Company Lawyer 44(8) in 2023 is on Shareholders' Protection.

She has been a seasoned speaker for various professional bodies:

1. Cross Professionals Alliance 15 September 2023
Topic: ESG: Updates, Opportunities and Challenges, from a Company Secretary's Perspective
2. Ascent Partners Ltd & Cross Professionals Alliance 21 December 2022
Topic: Board Culture and Board Evaluations – Regulatory concerns and practical implications
3. Hong Kong Chartered Governance Institute 30 September 2022
Topic: Shareholder's Protection – Overview, Application and Company Secretary's & Investor Relations' Roles
4. Association of Chinese Internal Auditors (ACIA) 9 February 2021
Topic: Corporate Governance (C.G.): System & Theoretical Bases

Ms. Lam obtained her Master of Business from The University of Newcastle, Australia as well as Master of Corporate Governance from the Hong Kong Polytechnic University.

She is also active in community services. Her contribution involves Professional Bodies (HKCGI), NGO (The Orion Astropreneur Space Academy), University (POLYU), Listed Company (CK Hutchison Holdings Ltd) as well as Macao whereby she was awarded with the followings:

感謝狀, 中國都市報澳門分社
傑出貢獻獎, 中國都市報澳門分社
年度最具影響力大獎, 澳門大灣區企業家精英會

Chairman:
主持人:

Ms. CY Chan
Chairman of SCAA Training Committee

Date:
日期:

5 December 2023 (Tuesday)
2023 年 12 月 5 日 (星期二)

Time:
時間:

6:30pm – 8:00pm
晚上 6:30 - 8:00

Format:
授課形式:

Hybrid seminar 混合形式研討會 (A/B)

A. Face-to-face seminar 實體研討會 (Limited seats available 座位有限)

SCAA Premises (6/F, 88 Lockhart Road, Wanchai, Hong Kong)
香港華人會計師公會 (香港灣仔駱克道 88 號 6 樓)

B. Webinar (Live via zoom) 網路研討會 (zoom 直播)

香港灣仔駱克道八十八號六樓 6/F., 88 Lockhart Road, Wanchai, H.K

Tel : (852) 2869 6680 Fax : (852) 2526 6434 E-mail : info@scaacpa.org.hk Web site : www.scaacpa.org.hk



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Language:

語言:

Cantonese

廣東話

CPD hours:

CPD 時數:

1.5 hours

1.5 小時

Fee:

費用:

HK\$150 for SCAA member/affiliate/member's staff; HK\$250 for non-member

華師正式會員/ 附屬會員/ 正式會員之僱員，每位 HK\$150; 非會員，每位 HK\$250

Enrolment:

報名:

A. 如欲參與**實體形式**研討會，請**按此**報名。

If you would like to join the seminar through **physical mode**, please click **HERE** to enroll.

B. 如欲參與**網絡形式**研討會，請**按此**報名。

If you would like to join the seminar through **online mode**, please click **HERE** to enroll.

Remarks:

備註:

The link to the zoom webinar will be sent to registered participants via email prior to the webinar.

Zoom 研討會連結將於課程舉行前發送至參加者的登記電郵地址。

Enquiry:

查詢:

SCAA Secretariat 華師秘書處

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Facebook: www.facebook.com/scaacpa

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5 years+
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caring organisation
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