



創於1913年  
Founded in 1913

# 香港華人會計師公會 The Society of Chinese Accountants & Auditors

(在香港註冊成立之有限公司)

(Incorporated in Hong Kong as a company limited by guarantee)

## Navigating the supervisory environment for auditing profession

Speakers: Ms. Elsa Ho & Ms. Wincey Lam

7 NOV 2024 (THUR)



Navigating the supervisory environment for auditing profession (Course Code 課程編號: 2924)

### Highlights: 課程重點:

1. Key differences and links between inspection, investigation, enquiry and discipline
2. Inspection: legal framework, scope, proceedings and arrangements
3. Inspection and monitoring: top common findings and compliance tips
4. Investigation and enquiry: legal framework, scope, proceedings and compliance tips
5. Investigation and enquiry: recent enforcement cases and important points to note

### Speakers: 講者:



**Ms. Elsa Ho**  
(FCA, CPA, LLB, CAMS, BA)

Ms. Elsa Ho has all round experience in setting, implementing, monitoring and regulating compliance with professional standards. She was the former Director of Quality Assurance of the Hong Kong Institute of Certified Public Accountants (“HKICPA”) in charge of the practice review and professional standards monitoring programmes and the former Deputy Head of Inspection of the Accounting and Financial Reporting Council responsible for inspections. Elsa was once the head of the technical department of an international practice in Hong Kong looking after technical, monitoring and training of the practice and worked in the standard setting department of the HKICPA with a work focus on financial reporting standard setting.

Elsa is now the Senior Director of Wisdom Pro Services Limited that offers technical and advisory services on compliance with professional standards, in particular monitoring and consultation services for practice units.



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**Ms. Wincey Lam**  
(CA, CPA, BSc)

Ms. Wincey Lam is a financial reporting and auditing expert with a demonstrated history of experience in financial reporting and audit regulation. She was the former Head of Investigation and Compliance of the Accounting and Financial Reporting Council (“AFRC”) responsible for conducting investigations into misconduct of accounting professionals and enquires into accounting non-compliance by listed companies. Prior to joining the AFRC, Wincey was a Partner in the Department of Professional Practice of an international practice in Hong Kong specialised in assisting clients and staff with a wide range of financial reporting and auditing issues encountered in practice. She is now a consultant providing financial and professional services to CPA firms and listed companies.

**Chairman:**  
主持人：

**Ms. Joann Chan**  
*Chairman of SCAA Training Committee*

**Date:**  
日期：

7 November 2024 (Thursday)  
2024 年 11 月 7 日 (星期四)

**Time:**  
時間：

6:30pm – 8:00pm  
晚上 6:30 - 8:00

**Format:**  
授課形式：

**Hybrid seminar 混合形式研討會 (A/B)**

A. Face-to-face seminar 實體研討會 (Limited seats available 座位有限)

SCAA Premises (6/F, 88 Lockhart Road, Wanchai, Hong Kong)  
香港華人會計師公會 (香港灣仔駱克道 88 號 6 樓)

B. Webinar (Live via zoom) 網路研討會(zoom 直播)

**Language:**  
語言：

Cantonese  
廣東話

**CPD hours:**  
CPD 時數：

1.5 hours  
1.5 小時

**Fee:**  
費用：

HK\$150 for SCAA member/affiliate/member’s staff; HK\$250 for non-member  
華師正式會員/ 附屬會員/ 正式會員之僱員，每位 HK\$150; 非會員，每位 HK\$250



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## Enrolment: 報名:

- A. 如欲參與**實體形式**研討會，請**按此**報名。  
If you would like to join the seminar through **physical mode**, please click **HERE** to enroll.
- B. 如欲參與**網絡形式**研討會，請**按此**報名。  
If you would like to join the seminar through **online mode**, please click **HERE** to enroll.

\*如選擇以支票形式付款，請完成網上表格連同支票寄回本會秘書處。報名將以收妥支票為準。

\*\*For participants paying by cheque, please be reminded to complete the online form and send together with your cheque to the Secretariat. Enrolment will be processed upon receipt of the cheque.

## Remarks: 備註:

The link to the zoom webinar will be sent to registered participants via email prior to the webinar.

Zoom 研討會連結將於課程舉行前發送至參加者的登記電郵地址。

## Enquiry: 查詢:

SCAA Secretariat 華師秘書處

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Facebook: [www.facebook.com/scaacpa](http://www.facebook.com/scaacpa)

