



創於1913年
Founded in 1913

香港華人會計師公會 The Society of Chinese Accountants & Auditors

(在香港註冊成立之有限公司)

(Incorporated in Hong Kong as a company limited by guarantee)

Ms Careen Wong

Code 1520 - 22 October 2020 (Thur)
Webinar

Knowing and Surviving the TCSP and AML Regime from the Legal Perspective

Knowing and Surviving the TCSP and AML Regime from the Legal Perspective

(Course Code 課程編號: 1520)

Highlights: 課程重點:

Since the amendments to the Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) Ordinance (Cap.615) have come into effect from 1st March 2018, Certified Public Accountants and Trust or Company Service Providers (TCSP) are now under statutory regulation in respect of compliance with anti-money laundering regime in Hong Kong.

In this seminar, the Presenter Ms. Careen Wong would explain and dissect the Hong Kong AML regime with reference to the relevant Ordinances and guidelines with discussions on issues of special interests and recent legal developments.

1. AML/CTF regime
 - a. TCSP license
 - b. Statutory duty on TCSP licensee
2. Fulfilling the statutory duty of TCSP licensee
 - a. Understanding the essential concepts
 - b. Operational implementation and ongoing monitoring
 - i. Know Your Client – Client Due Diligence
 - ii. Risk Assessment
 - iii. Monitoring
 - iv. Reporting
 - v. Record-keeping
 - vi. Training
 - c. Issues of special interests
 - i. Politically Exposed Person and Connected Parties
 - ii. Intermediaries
 - iii. Corporations incorporated outside Hong Kong
 1. Certificate of incumbency
 2. Notarization, Apostille, Legalization and Attestation by China-Appointed Attesting Officer
3. Case sharing, practice discussions and recent legal developments



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Speaker (s):

講者:

Ms. Careen Wong

*Solicitor of the High Court of Hong Kong SAR
Managing Partner of Shum Wong & Co., Solicitors*

Careen is the Founder and Managing Partner of her own firm and has been responsible for the overall management, finance and strategic planning for over 10 years. She leads the Firm's Non-contentious Department and cross-border legal services and is a China-Appointed Attesting Officer. She regularly advises on wide-ranging company and commercial matters, general and New Territories property transactions and estate planning.

Careen is a Council Member and Chairperson of the Standing Committee of Standards and Development of The Law Society of Hong Kong and a member of the Ethics Committee of the Hong Kong Institute of Certified Public Accountants. She is committed to public services on various platforms. She regularly conducts lectures and training sessions, moderates and speaks in conferences and seminars.

Date:

日期:

22 October 2020 (Thursday)
2020 年 10 月 22 日 (星期四)

Time:

時間:

6:30pm – 8:00pm
晚上 6:30 - 8:00

Format:

授課形式:

Webinar (Live via zoom)
網絡研討會 (zoom 直播)

Language:

語言:

Cantonese
廣東話

CPD hours:

CPD 時數:

1.5 hrs
1.5 小時

Fee:

費用:

HK\$150 for SCAA member/affiliate/member's staff ; HK\$250 for non-member
華師正式會員/ 附屬會員/ 正式會員之僱員, 每位 HK\$150; 非會員, 每位 HK\$250

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Enrolment:

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Online application is on a first-come, first-served basis. Please click [HERE](#) to enroll and settle the payment via PayPal, Credit Card or **Cheque.

**No seat can be reserved through telephone. Enrolment will be processed upon receipt of payment. Please be reminded to complete the online form and send together with your cheque to the Secretariat.

研討會以先到先得形式於網上報名, 請[按此](#)報名並以 PayPal、信用卡或**支票付款。

**本會不設電話留位, 報名以收妥支票為準。請完成網上表格連同支票寄回本會秘書處。

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Remarks:

備註:

User guide on zoom and the link to the webinar will be sent to registered participants by email on 21 October.

Zoom 安裝與使用教學及研討會連結將於 10 月 21 日發送至參加者的登記電郵地址。

Enquiry:

查詢:

SCAA Secretariat 華師秘書處

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