



創於1913年
Founded in 1913

香港華人會計師公會 The Society of Chinese Accountants & Auditors

(在香港註冊成立之有限公司)

(Incorporated in Hong Kong as a company limited by guarantee)

Ms Careen Wong

14 December 2021 (Tue)
Hybrid seminar

Knowing and Surviving the TCSP and AML Regime from the Legal Perspective

Knowing and Surviving the TCSP and AML Regime from the Legal Perspective

(Course Code 課程編號: 3521)

Highlights: 課程重點:

Since the amendments to the Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) Ordinance (Cap.615) have come into effect from 1st March 2018, Certified Public Accountants and Trust or Company Service Providers (TCSP) are now under statutory regulation in respect of compliance with anti-money laundering regime in Hong Kong.

In this seminar, the Presenter Ms. Careen Wong would explain and dissect the Hong Kong AML regime with reference to the relevant Ordinances and guidelines with discussions on issues of special interests and recent legal developments.

1. AML/CTF regime
 - a. TCSP license
 - b. Statutory duty on TCSP licensee
2. Fulfilling the statutory duty of TCSP licensee
 - a. Understanding the essential concepts
 - b. Operational implementation and ongoing monitoring
 - i. Know Your Client – Client Due Diligence
 - ii. Risk Assessment
 - iii. Monitoring
 - iv. Reporting
 - v. Record-keeping
 - vi. Training
 - c. Issues of special interests
 - i. Politically Exposed Person and Connected Parties
 - ii. Intermediaries
 - iii. Corporations incorporated outside Hong Kong
 1. Certificate of incumbency
 2. Notarization, Apostille, Legalization and Attestation by China-Appointed Attesting Officer
3. Case sharing, practice discussions and recent legal developments



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Speaker (s):
講者:

Ms. Caren Wong

Solicitor of the High Court of Hong Kong SAR

Managing Partner of Shum Wong & Co., Solicitors

Caren is the Founder and Managing Partner of her own firm and has been responsible for the overall management, finance and strategic planning for over 10 years. She leads the Firm's Non-contentious Department and cross-border legal services and is a China-Appointed Attesting Officer. She regularly advises on wide-ranging company and commercial matters, general and New Territories property transactions and estate planning.

Caren is a Council Member and Chairperson of the Standing Committee of Standards and Development of The Law Society of Hong Kong and a member of the Ethics Committee of the Hong Kong Institute of Certified Public Accountants. She is committed to public services on various platforms. She regularly conducts lectures and training sessions, moderates and speaks in conferences and seminars.

Date:

14 December 2021 (Tuesday)

日期:

2021年12月14日(星期二)

Time:

6:30pm – 8:00pm

時間:

晚上 6:30 - 8:00

Format:

授課形式:

A. Face-to-face seminar

(For SCAA member/affiliate/member's staff only)

實體研討會

(只限華師正式會員/ 附屬會員/ 正式會員之僱員)

B. Webinar (Live via zoom)

(For SCAA member/affiliate/member's staff/ Non-member)

網路研討會 (zoom 直播)

(適用於華師正式會員/ 附屬會員/ 正式會員之僱員/ 非會員)

Venue*:

場地*:

SCAA Premises (6/F, 88 Lockhart Road, Wanchai, Hong Kong)

香港華人會計師公會 (香港灣仔駱克道 88 號 6 樓)

*For face-to-face seminar 適用於實體研討會

Language:

語言:

Cantonese

廣東話

CPD hours:

1.5 hrs

CPD 時數:

1.5 小時

Fee:

費用:

HK\$150 for SCAA member/ affiliate/ member's staff ; HK\$250 for non-member

華師正式會員/ 附屬會員/ 正式會員之僱員，每位 HK\$150; 非會員，每位 HK\$250



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Enrolment: 報名:

- A.** 如欲參與**實體形式**研討會，請**按此**報名。
If you would like to join the seminar through **physical mode**, please click **HERE** to enroll.
- B.** 如欲參與**網絡形式**研討會，請**按此**報名。
If you would like to join the seminar through **online mode**, please click **HERE** to enroll.

Online application is on a first-come, first-served basis. Please settle the payment via PayPal, Credit Card or **Cheque.

研討會以先到先得形式於網上報名，報名請以 PayPal、信用卡或**支票付款。

**No seat can be reserved through telephone. Enrolment will be processed upon receipt of payment. Please be reminded to complete the online form and send together with your cheque to the Secretariat.

**本會不設電話留位，報名以收妥支票為準。請完成網上表格連同支票寄回本會秘書處。

Remarks: 備註:

The link to the zoom webinar will be sent to registered participants via email one working day prior to the webinar.

Zoom 研討會連結將於課程舉行前一個工作天發送至參加者的登記電郵地址。

Bad weather arrangement

This event may be cancelled or re-scheduled when typhoon no.8 or black rainstorm warning is hoisted. Please refer to our website www.scaacpa.org.hk for the arrangement.

惡劣天氣安排

八號風球或黑色暴雨警告信號生效時，課程將會延期舉行或取消。詳情請留意華師網站 www.scaacpa.org.hk 公佈。

Enquiry: 查詢:

SCAA Secretariat 華師秘書處

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